# Package 'CRTspat'

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Title Workflow for Cluster Randomised Trials with Spillover
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<b>Description</b> Design, workflow and statistical analysis of Cluster Randomised Trials of (health) interventions where there may be spillover between the arms (see <a href="https://thomasasmith.github.io/index.html">https://thomasasmith.github.io/index.html</a> ).
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## Description

aggregateCRT aggregates data from a "CRTsp" object or trial data frame containing multiple records with the same location, and outputs a list of class "CRTsp" containing single values for each location, for both the coordinates and the auxiliary variables.

#### Usage

```
aggregateCRT(trial, auxiliaries = NULL)
```

## **Arguments**

An object of class "CRTsp" containing locations (x,y) and variables to be summed auxiliaries vector of names of auxiliary variables to be summed across each location

## **Details**

Variables that in the trial dataframe that are not included in auxiliaries are retained in the output algorithm "CRTsp" object, with the value corresponding to that of the first record for the location in the input data frame

#### Value

A list of class "CRTsp"

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#### **Examples**

```
{
trial <- readdata('example_site.csv')
trial$base_denom <- 1
aggregated <- aggregateCRT(trial, auxiliaries = c("RDT_test_result","base_denom"))
}</pre>
```

anonymize\_site

Anonymize locations of a trial site

## **Description**

anonymize\_site transforms coordinates to remove potential identification information.

## Usage

```
anonymize_site(trial, ID = NULL, latvar = "lat", longvar = "long")
```

## **Arguments**

trial "CRTsp" object or trial data frame with co-ordinates of households

ID name of column used as an identifier for the points
 latvar name of column containing latitudes in decimal degrees
 longvar name of column containing longitudes in decimal degrees

#### **Details**

The coordinates are transformed to support confidentiality of information linked to households by replacing precise geo-locations with transformed co-ordinates which preserve distances but not positions. The input may have either lat long or x,y coordinates. The function first searches for any lat long co-ordinates and converts these to x,y Cartesian coordinates. These are then are rotated by a random angle about a random origin. The returned object has transformed co-ordinates re-centred at the origin. Centroids stored in the "CRTsp" object are removed. Other data are unchanged.

#### Value

```
A list of class "CRTsp".
```

## **Examples**

```
#Rotate and reflect test site locations
transformedTestlocations <- anonymize_site(trial = readdata("exampleCRT.txt"))</pre>
```

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coef.CRTanalysis

Extract model coefficients

## Description

coef.CRTanalysis method for extracting model fitted values

## Usage

```
## S3 method for class 'CRTanalysis'
coef(object, ...)
```

## Arguments

```
object CRTanalysis object ... other arguments
```

#### Value

the model coefficients returned by the statistical model run within the CRTanalysis function

## **Examples**

```
{example <- readdata('exampleCRT.txt')
exampleGEE <- CRTanalysis(example, method = "GEE")
coef(exampleGEE)
}</pre>
```

 ${\tt compute\_distance}$ 

Compute distance or surround values for a cluster randomized trial

#### **Description**

compute\_distance computes distance or surround values for a cluster randomized trial (CRT)

## Usage

```
compute_distance(trial, distance = "nearestDiscord", scale_par = NULL)
```

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#### **Arguments**

trial an object of class "CRTsp" or a data frame containing locations in (x,y) coordi-

nates, cluster assignments (factor cluster), and arm assignments (factor arm).

distance the quantity(s) to be computed. Options are:

"nearestDiscord" distance to nearest discordant location (km)

"disc" disc

"kern" kernel-based measure
"hdep" Tukey half space depth
"sdep" simplicial depth

scale\_par scale parameter equal to the disc radius in km if distance = "disc" or to the

standard deviance of the kernels if distance = "kern"

#### **Details**

For each selected distance measure, the function first checks whether the variable is already present, and carries out the calculations only if the corresponding field is absent from the trial data frame.

If distance = "nearestDiscord" is selected the computed values are Euclidean distances assigned a positive sign for the intervention arm of the trial, and a negative sign for the control arm.

If distance = "disc" is specified, the disc statistic is computed for each location as the number of locations within the specified radius that are in the intervention arm (Anaya-Izquierdo & Alexander(2020)). The input value of scale\_par is stored in the design list of the output "CRTsp" object. Recalculation is carried out if the input value of scale\_par differs from the one in the input design list. The value of the the surround calculated based on intervened locations is divided by the value of the surround calculated on the basis of all locations, so the value returned is a proportion.

If distance = "kern" is specified, the Normal curve with standard deviation scale\_par is used to simulate diffusion of the intervention effect by Euclidean distance. For each location in the trial, the contributions of all intervened locations are summed. As with distance = "disc", when distance = "kern" the surround calculated based on intervened locations is divided by the value of the surround calculated on the basis of all locations, so the value returned is a proportion.

If either distance = "hdep" or distance = "sdep" is specified then both the simplicial depth and Tukey half space depth are calculated using the algorithm of Rousseeuw & Ruts(1996). The half-depth probability within the intervention cloud (di) is computed with respect to other locations in the intervention arm (Anaya-Izquierdo & Alexander(2020)). The half-depth within the half-depth within the control cloud (dc) is also computed. CRTspat returns the proportion di/(dc + di).

#### Value

The input "CRTsp" object with additional column(s) added to the trial data frame with variable name corresponding to the input value of distance.

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#### **Examples**

```
{
# Calculate the disc with a radius of 0.5 km
exampletrial <- compute_distance(trial = readdata('exampleCRT.txt'),
distance = 'disc', scale_par = 0.5)
}</pre>
```

compute\_mesh

compute\_mesh create objects required for INLA analysis of an object of class "CRTsp".

#### **Description**

compute\_mesh create objects required for INLA analysis of an object of class "CRTsp".

## Usage

```
compute_mesh(
  trial = trial,
  offset = -0.1,
  max.edge = 0.25,
  inla.alpha = 2,
  maskbuffer = 0.5,
  pixel = 0.5
)
```

## **Arguments**

an object of class "CRTsp" or a data frame containing locations in (x,y) coordinates, cluster assignments (factor cluster), and arm assignments (factor arm) and outcome.

offset see inla.mesh.2d documentation

max.edge see inla.mesh.2d documentation

inla.alpha parameter related to the smoothness (see inla documentation)

maskbuffer numeric: width of buffer around points (km)

pixel numeric: size of pixel (km)

#### **Details**

compute\_mesh carries out the computationally intensive steps required for setting-up an INLA analysis of an object of class "CRTsp", creating the prediction mesh and the projection matrices. The mesh can be reused for different models fitted to the same geography. The computational resources required depend largely on the resolution of the prediction mesh. The prediction mesh is thinned to include only pixels centred at a distance less than maskbuffer from the nearest point.

A warning may be generated if the Matrix library is not loaded.

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## Value

list

• prediction Data frame containing the prediction points and covariate values

- A projection matrix from the observations to the mesh nodes.
- Ap projection matrix from the prediction points to the mesh nodes.
- indexs index set for the SPDE model
- spde SPDE model
- pixel pixel size (km)

## **Examples**

```
{
# low resolution mesh for test dataset
library(Matrix)
example <- readdata('exampleCRT.txt')
exampleMesh=compute_mesh(example, pixel = 0.5)
}</pre>
```

CRTanalysis

Analysis of cluster randomized trial with spillover

## **Description**

CRTanalysis carries out a statistical analysis of a cluster randomized trial (CRT).

#### Usage

```
CRTanalysis(
  trial,
  method = "GEE",
  distance = "nearestDiscord",
  scale_par = NULL,
  cfunc = "L",
  link = "logit",
  numerator = "num",
  denominator = "denom",
  excludeBuffer = FALSE,
  alpha = 0.05,
  baselineOnly = FALSE,
  baselineNumerator = "base_num",
  baselineDenominator = "base_denom",
  personalProtection = FALSE,
  clusterEffects = TRUE,
  spatialEffects = FALSE,
  requireMesh = FALSE,
  inla_mesh = NULL
)
```

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#### **Arguments**

trial an object of class "CRTsp" or a data frame containing locations in (x,y) coordi-

nates, cluster assignments (factor cluster), and arm assignments (factor arm)

and outcome data (see details).

method statistical method with options:

"EMP" simple averages of the data

"T" comparison of cluster means by t-test "GEE" Generalised Estimating Equations

"LME4" Generalized Linear Mixed-Effects Models

"INLA" Integrated Nested Laplace Approximation (INLA)

"MCMC" Markov chain Monte Carlo using "JAGS"

"WCA" Within cluster analysis

## distance Measure of distance or surround with options:

"nearestDiscord" distance to nearest discordant location (km)

"disc" disc

"kern" surround based on sum of normal kernels

"hdep" Tukey half space depth

"sdep" simplicial depth

scale\_par numeric: pre-specified value of the spillover parameter or disc radius for models

where this is fixed (cfunc = "R").

#### cfunc transformation defining the spillover function with options:

"Z"	arm effects not considered	reference model
"X"	spillover not modelled	the only valid value of cfunc for methods "EMP", "T" and "GEE"
"L"	inverse logistic (sigmoid)	the default for "INLA" and "MCMC" methods
"P"	inverse probit (error function)	available with "INLA" and "MCMC" methods
"S"	piecewise linear	only available with the "MCMC" method
"E"	estimation of scale factor	only available with distance = "disc" or distance = "kern"
"R"	rescaled linear	

link link function with options:

"logit" (the default). numerator has a binomial distribution with denominator denominator.

"log" numerator is Poisson distributed with an offset of log(denominator).

"cloglog" numerator is Bernoulli distributed with an offset of log(denominator).

"identity" The outcome is numerator/denominator with a normally distributed error function.

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numerator string: name of numerator variable for outcome

denominator string: name of denominator variable for outcome data (if present)

excludeBuffer logical: indicator of whether any buffer zone (records with buffer=TRUE) should

be excluded from analysis

alpha numeric: confidence level for confidence intervals and credible intervals

baselineOnly logical: indicator of whether required analysis is of effect size or of baseline

only

baselineNumerator

string: name of numerator variable for baseline data (if present)

baselineDenominator

string: name of denominator variable for baseline data (if present)

personalProtection

logical: indicator of whether the model includes local effects with no spillover

clusterEffects logical: indicator of whether the model includes cluster random effects

spatialEffects logical: indicator of whether the model includes spatial random effects (avail-

able only for method = "INLA")

requireMesh logical: indicator of whether spatial predictions are required (available only for

method = "INLA")

inla\_mesh string: name of pre-existing INLA input object created by compute\_mesh()

#### **Details**

CRTanalysis is a wrapper for the statistical analysis packages: geepack, INLA, jagsUI, and the t.test function of package stats.

The wrapper does not provide an interface to the full functionality of these packages. It is specific for typical analyses of cluster randomized trials with geographical clustering. Further details are provided in the vignette.

The key results of the analyses can be extracted using a summary() of the output list. The model\_object in the output list is the usual output from the statistical analysis routine, and can be also be inspected with summary(), or analysed using stats::fitted() for purposes of evaluation of model fit etc..

For models with a complementary log-log link function specified with link = "cloglog". the numerator must be coded as 0 or 1. Technically the binomial denominator is then 1. The value of denominator is used as a rate multiplier.

With the "INLA" and "MCMC" methods 'iid' random effects are used to model extra-Poisson variation.

Interval estimates for the coefficient of variation of the cluster level outcome are calculated using the method of Vangel (1996).

#### Value

list of class CRTanalysis containing the following results of the analysis:

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```
• description : description of the dataset
```

• method: statistical method

• pt\_ests : point estimates

• int\_ests: interval estimates

• model\_object : object returned by the fitting routine

• spillover: function values and statistics describing the estimated spillover

## **Examples**

```
example <- readdata('exampleCRT.txt')
# Analysis of test dataset by t-test
exampleT <- CRTanalysis(example, method = "T")
summary(exampleT)
# Standard GEE analysis of test dataset ignoring spillover
exampleGEE <- CRTanalysis(example, method = "GEE")
summary(exampleGEE)
# LME4 analysis with error function spillover function
exampleLME4 <- CRTanalysis(example, method = "LME4", cfunc = "P")
summary(exampleLME4)</pre>
```

CRTpower

Power and sample size calculations for a CRT

## **Description**

CRTpower carries out power and sample size calculations for CRTs.

## Usage

```
CRTpower(
  trial = NULL,
  locations = NULL,
  alpha = 0.05,
  desiredPower = 0.8,
  effect = NULL,
  yC = NULL,
  outcome_type = "d",
  sigma2 = NULL,
  denominator = 1,
 N = 1,
  ICC = NULL,
  cv_percent = NULL,
  c = NULL
  sd_h = 0
)
```

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#### **Arguments**

trial dataframe or 'CRTsp' object: optional list of locations

locations numeric: total number of units available for randomization (required if trial is

not specified)

alpha numeric: confidence level desiredPower numeric: desired power effect numeric: required effect size

yC numeric: baseline (control) value of outcome

outcome\_type character: with options - 'y': continuous; 'n': count; 'e': event rate; 'p':

proportion; 'd': dichotomous.

sigma2 numeric: variance of the outcome (required for outcome\_type = 'y')

denominator numeric: rate multiplier (for outcome\_type = 'n' or outcome\_type = 'e')

numeric: mean of the denominator for proportions (for outcome\_type = 'p')

ICC numeric: Intra-cluster correlation

cv\_percent numeric: Coefficient of variation of the outcome (expressed as a percentage)
c integer: number of clusters in each arm (required if trial is not specified)
standard deviation of number of units per cluster (required if trial is not spec-

ified)

#### **Details**

Power and sample size calculations are for an unmatched two-arm trial. For counts or event rate data the formula of Hayes & Bennett, 1999 is used. This requires as an input the between cluster coefficient of variation (cv\_percent). For continuous outcomes and proportions the formulae of Hemming et al, 2011 are used. These make use of the intra-cluster correlation in the outcome (ICC) as an input. If the coefficient of variation and not the ICC is supplied then the intra-cluster correlation is computed from the coefficient of variation using the formulae from Hayes & Moulton. If incompatible values for ICC and cv\_percent are supplied then the value of the ICC is used.

The calculations do not consider any loss in power due to spillover, loss to follow-up etc..

If geolocations are not input then power and sample size calculations are based on the scalar input parameters.

If a trial dataframe or 'CRTsp' object is input then this is used to determine the number of locations. If this input object contains cluster assignments then the numbers and sizes of clusters in the input data are used to estimate the power. If buffer zones have been specified then separate calculations are made for the core area and for the full site.

The output is an object of class 'CRTsp' containing any input trial dataframe and values for:

- The required numbers of clusters to achieve the specified power.
- The design effect based on the input ICC.
- Calculations of the nominal power (ignoring any bias caused by spillover, loss to follow-up etc.)

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#### Value

A list of class 'CRTsp' object comprising the input data, cluster and arm assignments, trial description and results of power calculations

#### **Examples**

```
{# Power calculations for a binary outcome without input geolocations
examplePower1 = CRTpower(locations = 3000, ICC = 0.10, effect = 0.4, alpha = 0.05,
    outcome_type = 'd', desiredPower = 0.8, yC=0.35, c = 20, sd_h = 5)
summary(examplePower1)
# Power calculations for a rate outcome without input geolocations
examplePower2 = CRTpower(locations = 2000, cv_percent = 40, effect = 0.4, denominator = 2.5,
    alpha = 0.05, outcome_type = 'e', desiredPower = 0.8, yC = 0.35, c = 20, sd_h=5)
summary(examplePower2)
# Example with input geolocations and randomisation
examplePower3 = CRTpower(trial = readdata('example_site.csv'), desiredPower = 0.8,
    effect=0.4, yC=0.35, outcome_type = 'd', ICC = 0.05, c = 20)
summary(examplePower3)
}
```

CRTsp

Create or update a "CRTsp" object

#### **Description**

CRTsp coerces data frames containing co-ordinates and location attributes into objects of class "CRTsp" or creates a new "CRTsp" object by simulating a set of Cartesian co-ordinates for use as the locations in a simulated trial site

#### Usage

```
CRTsp(
  x = NULL,
  design = NULL,
  geoscale = NULL,
  locations = NULL,
  kappa = NULL,
  mu = NULL
)
```

#### **Arguments**

Χ

an object of class "CRTsp" or a data frame containing locations in (x,y) coordinates, cluster assignments (factor cluster), and arm assignments (factor arm). Optionally specification of a buffer zone (logical buffer); any other variables required for subsequent analysis.

design

list: an optional list containing the requirements for the power of the trial

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geoscale standard deviation of random displacement from each settlement cluster center

(for new objects)

locations number of locations in population (for new objects)

kappa intensity of Poisson process of settlement cluster centers (for new objects)

mu mean number of points per settlement cluster (for new objects)

#### **Details**

If a data frame or "CRTsp" object is input then the output "CRTsp" object is validated, a description of the geography is computed and power calculations are carried out.

If geoscale, locations, kappa and mu are specified then a new trial dataframe is constructed corresponding to a novel simulated human settlement pattern. This is generated using the Thomas algorithm (rThomas) in spatstat.random allowing the user to defined the density of locations and degree of spatial clustering. The resulting trial data frame comprises a set of Cartesian coordinates centred at the origin.

#### Value

A list of class "CRTsp" containing the following components:

design list: parameters required for power calculations geom\_full list: summary statistics describing the site

geom\_core list: summary statistics describing the core area (when a buffer is specified)

trial data frame: rows correspond to geolocated points, as follows:

x numeric vector: x-coordinates of locations y numeric vector: y-coordinates of locations cluster factor: assignments to cluster of each location

arm factor: assignments to "control" or "intervention" for each location nearestDiscord numeric vector: Euclidean distance to nearest discordant location (km)

buffer logical: indicator of whether the point is within the buffer

... other objects included in the input "CRTsp" object or data frame

## **Examples**

```
{# Generate a simulated area with 10,000 locations
example_area = CRTsp(geoscale = 1, locations=10000, kappa=3, mu=40)
summary(example_area)
}
```

CRTwrite

Export of GIS layer from 'CRTsp'

## Description

CRTwrite exports a simple features object in a GIS format

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#### Usage

```
CRTwrite(
  object,
  dsn,
  feature = "clusters",
  buffer_width,
  maskbuffer = 0.2,
  ...
)
```

#### **Arguments**

```
object
                  object of class 'CRTsp'
dsn
                  dataset name (relative path) for output objects
feature
                  feature to be exported, options are:
                'cluster'
                             cluster assignments
                'arms'
                              arm assignments
                 'buffer'
                              buffer zone or spillover zone
                              mask for areas that are distant from habitations
                 'mask'
buffer_width
                  width of buffer between discordant locations (km)
maskbuffer
                  radius of buffer drawn around inhabited areas (km)
```

other arguments passed to 'sf::write\_sf'

#### **Details**

'sf::write\_sf' is used to format the output. The function returns TRUE on success, FALSE on failure, invisibly.

If the input object contains a 'centroid' then this is used to compute lat long coordinates, which are assigned the "WGS84" coordinate reference system. Otherwise the objects have equirectangular co-ordinates with centroid (0,0).

If feature = 'buffer' then buffer width determination is as described under plotCRT().

The output vector objects are constructed by forming a Voronoi tessellation of polygons around each of the locations and combining these polygons. The polygons on the outside of the study area extend outwards to an external rectangle. The 'mask' is used to mask out the areas of these polygons that are at a distance > maskbuffer from the nearest location.

#### Value

```
obj, invisibly
```

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## **Examples**

```
tmpdir = tempdir()
dsn <- paste0(tmpdir,'/arms')
CRTwrite(readdata('exampleCRT.txt'), dsn = dsn, feature = 'arms',
driver = 'ESRI Shapefile', maskbuffer = 0.2)</pre>
```

fitted.CRTanalysis

Extract model fitted values

## **Description**

fitted.CRTanalysis method for extracting model fitted values

## Usage

```
## S3 method for class 'CRTanalysis'
fitted(object, ...)
```

## **Arguments**

```
object CRTanalysis object
... other arguments
```

#### Value

the fitted values returned by the statistical model run within the CRTanalysis function

## **Examples**

```
{example <- readdata('exampleCRT.txt')
exampleGEE <- CRTanalysis(example, method = "GEE")
fitted_values <- fitted(exampleGEE)
}</pre>
```

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latlong_as_xy Convert lat long co-ordinates to x,y
--

#### **Description**

latlong\_as\_xy converts co-ordinates expressed as decimal degrees into x,y

## Usage

```
latlong_as_xy(trial, latvar = "lat", longvar = "long")
```

## **Arguments**

trial A trial dataframe or list of class "CRTsp" containing latitudes and longitudes in

decimal degrees

latvar name of column containing latitudes in decimal degreeslongvar name of column containing longitudes in decimal degrees

#### **Details**

The output object contains the input locations replaced with Cartesian coordinates in units of km, centred on (0,0), corresponding to using the equirectangular projection (valid for small areas). Other data are unchanged.

## Value

A list of class "CRTsp" containing the following components:

geom_full	list:	summary statistics describing the site
trial	data frame:	rows correspond to geolocated points, as follows:
	X	numeric vector: x-coordinates of locations
	У	numeric vector: y-coordinates of locations
		other objects included in the input "CRTsp" object or data frame

## **Examples**

```
examplexy <- latlong_as_xy(readdata("example_latlong.csv"))</pre>
```

plotCRT	Graphical displays of the geography of a CRT	

#### **Description**

plotCRT returns graphical displays of the geography of a CRT or of the results of statistical analyses of a CRT

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#### Usage

```
plotCRT(
  object,
  map = FALSE,
  distance = "nearestDiscord",
  fill = "arms",
  showLocations = FALSE,
  showClusterBoundaries = TRUE,
  showClusterLabels = FALSE,
  showBuffer = FALSE,
  cpalette = NULL,
  buffer_width = NULL,
  maskbuffer = 0.2,
  labelsize = 4,
  legend.position = NULL
)
```

## Arguments

object of class 'CRTanalysis' produced by CRTanalysis()

map logical: indicator of whether a map is required distance measure of distance or surround with options:

"nearestDiscord" distance to nearest discordant location (km)

"disc" disc

"hdep" Tukey's half space depth

"sdep" simplicial depth

## fill fill layer of map with options:

'cluster' cluster assignment 'arms' arm assignment

'nearestDiscord' distance to the nearest discordant location

'disc' disc measure of surround 'hdep' Tukey's half space depth

'sdep' simplicial depth

'prediction' model prediction of the outcome

'none' No fill

 $\begin{tabular}{ll} show Locations & logical: determining whether locations are shown show Cluster Boundaries \\ \end{tabular}$ 

 $logical: \ determining \ whether \ cluster \ boundaries \ are \ shown \\ show Cluster Labels$ 

logical: determining whether the cluster numbers are shown

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showBuffer logical: whether a buffer zone should be overlayed

cpalette colour palette (to use different colours for clusters this must be at least as long

as the number of clusters.

buffer\_width width of buffer zone to be overlayed (km)
maskbuffer radius of buffer around inhabited areas (km)

labelsize size of cluster number labels

legend.position

(using ggplot2::themes syntax)

#### **Details**

If map = FALSE and the input is a trial data frame or a CRTsp object, containing a randomisation to arms, a stacked bar chart of the outcome grouped by the specified distance is produced. If the specified distance has not yet been calculated an error is returned.

If map = FALSE and the input is a CRTanalysis object a plot of the estimated spillover function is generated. The fitted spillover function is plotted as a continuous blue line against the measure the surround or of the distance to the nearest discordant location. Using the same axes, data summaries are plotted for ten categories of distance from the boundary. Both the average of the outcome and confidence intervals are plotted.

- For analyses with logit link function the outcome is plotted as a proportion.
- For analyses with log or cloglog link function the data are plotted on a scale of the Williams mean (mean of exp(log(x + 1))) 1) rescaled so that the median matches the fitted curve at the midpoint.

If map = TRUE a thematic map corresponding to the value of fill is generated.

- fill = 'clusters' or leads to thematic map showing the locations of the clusters
- fill = 'arms' leads to a thematic map showing the geography of the randomization
- fill = 'distance' leads to a raster plot of the distance to the nearest discordant location.
- fill = 'prediction' leads to a raster plot of predictions from an 'INLA' model.

If showBuffer = TRUE the map is overlaid with a grey transparent layer showing which areas are within a defined distance of the boundary between the arms. Possibilities are:

- If the trial has not been randomised or if showBuffer = FALSE no buffer is displayed
- If buffer\_width takes a positive value then buffers of this width are displayed irrespective of any pre-specified or spillover limits.
- If the input is a 'CRTanalysis' and spillover limits have been estimated by an 'LME4' or 'INLA' model then these limits are used to define the displayed buffer.
- If buffer\_width is not specified and no spillover limits are available, then any pre-specified buffer (e.g. one generated by specify\_buffer()) is displayed.

A message is output indicating which of these possibilities applies.

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#### Value

graphics object produced by the ggplot2 package

#### **Examples**

```
{example <- readdata('exampleCRT.txt')</pre>
#Plot of data by distance
plotCRT(example)
#Map of locations only
plotCRT(example, map = TRUE, fill = 'none', showLocations = TRUE,
           showClusterBoundaries=FALSE, maskbuffer=0.2)
#show cluster boundaries and number clusters
plotCRT(example, map = TRUE, fill ='none', showClusterBoundaries=TRUE,
           showClusterLabels=TRUE, maskbuffer=0.2, labelsize = 2)
#show clusters in colour
plotCRT(example, map = TRUE, fill = 'clusters', showClusterLabels = TRUE,
          labelsize=2, maskbuffer=0.2)
#show arms
plotCRT(example, map = TRUE,
fill = 'arms', maskbuffer=0.2, legend.position=c(0.8,0.8))
#spillover plot
analysis <- CRTanalysis(example)</pre>
plotCRT(analysis, map = FALSE)
}
```

## Description

predict.CRTanalysis method for extracting model predictions

## Usage

```
## S3 method for class 'CRTanalysis'
predict(object, ...)
```

## Arguments

```
object CRTanalysis object
... other arguments
```

#### Value

the model predictions returned by the statistical model run within the CRTanalysis function

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#### **Examples**

```
{example <- readdata('exampleCRT.txt')</pre>
exampleGEE <- CRTanalysis(example, method = "GEE")</pre>
predictions <- predict(exampleGEE)</pre>
}#'
```

randomizeCRT

Randomize a two-armed cluster randomized trial

#### **Description**

randomizeCRT carries out randomization of clusters for a CRT and augments the trial dataframe with assignments to arms

## Usage

```
randomizeCRT(
  trial,
 matchedPair = FALSE,
 baselineNumerator = "base_num",
  baselineDenominator = "base_denom"
)
```

## **Arguments**

trial

an object of class "CRTsp" or a data frame containing locations in (x,y) coordinates, cluster assignments (factor cluster), and arm assignments (factor arm). Optionally: specification of a buffer zone (logical buffer); any other variables

required for subsequent analysis.

matchedPair

logical: indicator of whether pair-matching on the baseline data should be used in randomization

baselineNumerator

name of numerator variable for baseline data (required for matched-pair randomization)

baselineDenominator

name of denominator variable for baseline data (required for matched-pair randomization)

#### Value

A list of class "CRTsp" containing the following components:

design list: parameters required for power calculations geom\_full list: summary statistics describing the site

summary statistics describing the core area (when a buffer is specified) geom\_core list:

trial data frame: rows correspond to geolocated points, as follows: readdata 21

x numeric vector: x-coordinates of locations
y numeric vector: y-coordinates of locations
cluster factor: assignments to cluster of each location
pair factor: assigned matched pair of each location (for matchedPair randomisations)
arm factor: assignments to "control" or "intervention" for each location
... other objects included in the input "CRTsp" object or data frame

## **Examples**

```
# Randomize the clusters in an example trial
exampleCRT <- randomizeCRT(trial = readdata('exampleCRT.txt'), matchedPair = TRUE)</pre>
```

readdata

Read example dataset

## Description

readdata reads a file from the package library of example datasets

#### Usage

```
readdata(filename)
```

#### **Arguments**

filename

name of text file stored within the package

## **Details**

The input file name should include the extension (either .csv or .txt). The resulting object is a data frame if the extension is .csv.

#### Value

R object corresponding to the text file

## **Examples**

```
exampleCRT <- readdata('exampleCRT.txt')</pre>
```

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```
residuals.CRTanalysis Extract model residuals
```

#### **Description**

residuals. CRT analysis method for extracting model residuals

## Usage

```
## S3 method for class 'CRTanalysis'
residuals(object, ...)
```

## Arguments

```
object CRTanalysis object other arguments
```

#### Value

the residuals from the statistical model run within the CRTanalysis function

## **Examples**

```
{example <- readdata('exampleCRT.txt')
exampleGEE <- CRTanalysis(example, method = "GEE")
residuals <- residuals(exampleGEE)
}</pre>
```

simulateCRT

Simulation of cluster randomized trial with spillover

## **Description**

simulateCRT generates simulated data for a cluster randomized trial (CRT) with geographic spillover between arms.

## Usage

```
simulateCRT(
   trial = NULL,
   effect = 0,
   outcome0 = NULL,
   generateBaseline = TRUE,
   matchedPair = TRUE,
   scale = "proportion",
   baselineNumerator = "base_num",
```

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```
baselineDenominator = "base_denom",
denominator = NULL,
ICC_inp = NULL,
kernels = 200,
sd = NULL,
theta_inp = NULL,
tol = 0.005
)
```

#### **Arguments**

trial an object of class "CRTsp" or a data frame containing locations in (x,y) coordi-

nates, cluster assignments (factor cluster), and arm assignments (factor arm).

Each location may also be assigned a propensity (see details).

effect numeric. The simulated effect size (defaults to 0)

outcome@ numeric. The anticipated value of the outcome in the absence of intervention

generateBaseline

logical. If TRUE then baseline data and the propensity will be simulated

matchedPair logical. If TRUE then the function tries to carry out randomization using pair-

matching on the baseline data (see details)

scale measurement scale of the outcome. Options are: 'proportion' (the default);

'count'; 'continuous'.

baselineNumerator

optional name of numerator variable for pre-existing baseline data

baselineDenominator

optional name of denominator variable for pre-existing baseline data

denominator optional name of denominator variable for the outcome

ICC\_inp numeric. Target intra cluster correlation, provided as input when baseline data

are to be simulated

kernels number of kernels used to generate a de novo propensity

sd numeric. standard deviation of the normal kernel measuring spatial smoothing

leading to spillover

theta\_inp numeric. input spillover interval tol numeric. tolerance of output ICC

#### Details

Synthetic data are generated by sampling around the values of variable propensity, which is a numerical vector (taking positive values) of length equal to the number of locations. There are three ways in which propensity can arise:

- 1. propensity can be provided as part of the input trial object.
- 2. Baseline numerators and denominators (values of baselineNumerator and baselineDenominator may be provided. propensity is then generated as the numerator:denominator ratio for each location in the input object

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3. Otherwise propensity is generated using a 2D Normal kernel density. The OOR::StoSOO is used to achieve an intra-cluster correlation coefficient (ICC) that approximates the value of 'ICC\_inp' by searching for an appropriate value of the kernel bandwidth.

num[i], the synthetic outcome for location i is simulated with expectation:

```
E(num[i]) = outcome0[i]*propensity[i]*denom[i]*(1-effect*I[i])/mean(outcome0[]*propensity[])
```

The sampling distribution of num[i] depends on the value of scale as follows:

- scale='continuous': Values of num are sampled from a Normal distributions with means E(num[i]) and variance determined by the fitting to ICC\_inp.
- scale='count': Simulated events are allocated to locations via multivariate hypergeometric distributions parameterised with E(num[i]).
- scale='proportion': Simulated events are allocated to locations via multinomial distributions parameterised with E(num[i]).

denominator may specify a vector of numeric (non-zero) values in the input "CRTsp" or data. frame which is returned as variable denom. It acts as a scale-factor for continuous outcomes, rate-multiplier for counts, or denominator for proportions. For discrete data all values of denom must be > 0.5 and are rounded to the nearest integer in calculations of num.

By default, denom is generated as a vector of ones, leading to simulation of dichotomous outcomes if scale='proportion'.

If baseline numerators and denominators are provided then the output vectors base\_denom and base\_num are set to the input values. If baseline numerators and denominators are not provided then the synthetic baseline data are generated by sampling around propensity in the same way as the outcome data, but with the effect size set to zero.

If matchedPair is TRUE then pair-matching on the baseline data will be used in randomization providing there are an even number of clusters. If there are an odd number of clusters then matched pairs are not generated and an unmatched randomization is output.

Either sd or theta\_inp must be provided. If both are provided then the value of sd is overwritten by the standard deviation implicit in the value of theta\_inp. Spillover is simulated as arising from a diffusion-like process.

For further details see Multerer (2021)

#### Value

A list of class "CRTsp" containing the following components:

geom\_full list: summary statistics describing the site cluster assignments, and randomization

design list: values of input parameters to the design

trial data frame: rows correspond to geolocated points, as follows:

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x numeric vector: x-coordinates of locations y numeric vector: y-coordinates of locations cluster factor: assignments to cluster of each location

arm factor: assignments to control or intervention for each location

nearestDiscord numeric vector: signed Euclidean distance to nearest discordant location (km)

... other objects included in the input "CRTsp" object or data. frame

## **Examples**

```
{smalltrial <- readdata('smalltrial.csv')
simulation <- simulateCRT(smalltrial,
  effect = 0.25,
  ICC_inp = 0.05,
  outcome0 = 0.5,
  matchedPair = FALSE,
  scale = 'proportion',
  sd = 0.6,
  tol = 0.05)
summary(simulation)
}</pre>
```

specify\_buffer

Specification of buffer zone in a cluster randomized trial

#### **Description**

specify\_buffer specifies a buffer zone in a cluster randomized trial (CRT) by flagging those locations that are within a defined distance of those in the opposite arm.

#### Usage

```
specify_buffer(trial, buffer_width = 0)
```

#### **Arguments**

trial an object of class "CRTsp" or a data frame containing locations in (x,y) coordi-

nates, cluster assignments (factor cluster), and arm assignments (factor arm).

buffer\_width minimum distance between locations in opposing arms for them to qualify to be

included in the core area (km)

#### Value

A list of class "CRTsp" containing the following components:

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```
summary statistics describing the site, cluster assignments, and randomization.
geom_full
              list:
                                   summary statistics describing the core area
geom_core
              list:
trial
              data frame:
                                   rows correspond to geolocated points, as follows:
                                   numeric vector: x-coordinates of locations
              У
                                   numeric vector: y-coordinates of locations
              cluster
                                   factor: assignments to cluster of each location
                                   factor: assignments to "control" or "intervention" for each location
              arm
                                  numeric vector: signed Euclidean distance to nearest discordant location (km)
              nearestDiscord
              buffer
                                   logical: indicator of whether the point is within the buffer
                                   other objects included in the input "CRTsp" object or data frame
               . . .
```

## **Examples**

```
#Specify a buffer of 200m
exampletrial <- specify_buffer(trial = readdata('exampleCRT.txt'), buffer_width = 0.2)</pre>
```

specify\_clusters

Algorithmically assign locations to clusters in a CRT

#### **Description**

specify\_clusters algorithmically assigns locations to clusters by grouping them geographically

## Usage

```
specify_clusters(
   trial = trial,
   c = NULL,
   h = NULL,
   algorithm = "NN",
   reuseTSP = FALSE
)
```

## Arguments

trial A CRT object or data frame containing (x,y) coordinates of households
c integer: number of clusters in each arm
h integer: number of locations per cluster
algorithm algorithm for cluster boundaries, with options:

NN Nearest neighbour: assigns equal numbers of locations to each cluster

kmeans clustering: aims to partition locations so that each belongs to the cluster with the nearest centroid.

TSP travelling salesman problem heuristic: Assigns locations sequentially along a travelling salesman path.

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reuseTSP logical: indicator of whether a pre-existing path should be used by the TSP

algorithm

## **Details**

The reuseTSP parameter is used to allow the path to be reused for creating alternative allocations with different cluster sizes.

Either c or h must be specified. If both are specified the input value of c is ignored.

#### Value

A list of class "CRTsp" containing the following components:

#### **Examples**

```
#Assign clusters of average size h = 40 to a test set of co-ordinates, using the kmeans algorithm exampletrial <- specify_clusters(trial = readdata('exampleCRT.txt'), h = 40, algorithm = 'kmeans', reuseTSP = FALSE)
```

summary.CRTanalysis

Summary of the results of a statistical analysis of a CRT

## Description

summary. CRT analysis generates a summary of a CRT analysis including the main results

#### Usage

```
## S3 method for class 'CRTanalysis'
summary(object, ...)
```

## **Arguments**

```
object an object of class "CRTanalysis"
... other arguments used by summary
```

#### Value

No return value, writes text to the console.

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#### **Examples**

```
{example <- readdata('exampleCRT.txt')
exampleT <- CRTanalysis(example, method = "T")
summary(exampleT)
}</pre>
```

summary.CRTsp

Summary description of a "CRTsp" object

## Description

```
summary. CRTsp provides a description of a "CRTsp" object
```

## Usage

```
## S3 method for class 'CRTsp'
summary(object, maskbuffer = 0.2, ...)
```

## Arguments

object an object of class "CRTsp" or a data frame containing locations in (x,y) coordi-

nates, cluster assignments (factor cluster), arm assignments (factor arm) and buffer zones (logical buffer), together with any other variables required for

subsequent analysis.

maskbuffer radius of area around a location to include in calculation of areas

... other arguments used by summary

#### Value

No return value, write text to the console.

## **Examples**

```
summary(CRTsp(readdata('exampleCRT.txt')))
```

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