# Package 'SurvivalClusteringTree'

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Type Package

**Title** Clustering Analysis Using Survival Tree and Forest Algorithms

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**Description** 

An outcome-guided algorithm is developed to identify clusters of samples with similar characteristics and survival rate. The algorithm first builds a random forest and then defines distances between samples based on the fitted random forest. Given the distances, we can apply hierarchical clustering algorithms to define clusters. Details about this method is described in <a href="https://github.com/luyouepiusf/SurvivalClusteringTree">https://github.com/luyouepiusf/SurvivalClusteringTree</a>.

License GPL (>= 2)

Suggests knitr, rmarkdown, tinytest

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Imports Rcpp, survival, dplyr, grid, gridtext, formula.tools

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# Description

An outcome-guided algorithm is developed to identify clusters of samples with similar characteristics and survival rate. The algorithm first builds a random forest and then defines distances between samples based on the fitted random forest. Given the distances, we can apply hierarchical clustering algorithms to define clusters. Details about this method is described in <a href="https://github.com/luyouepiusf/SurvivalClusteringTra">https://github.com/luyouepiusf/SurvivalClusteringTra</a>

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#### Maintainer

Lu You <lu.you@epi.usf.edu>

#### Author(s)

NA

plot\_survival\_tree

Visualize the Fitted Survival Tree

## Description

Visualize the Fitted Survival Tree

## Usage

```
plot_survival_tree(survival_tree, cex = 0.75)
```

## Arguments

survival\_tree a fitted survival tree object.

cex numeric character expansion factor.

#### Value

No return value, called for generating graphical outputs.

#### **Examples**

```
library(survival)
a_survival_tree<-
    survival_tree(
    survival_outcome=Surv(time,status==2)~1,
    numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
    factor_predictor=~as.factor(sex),
    data=lung)
plot_survival_tree(a_survival_tree)</pre>
```

predict\_distance\_forest

Predict Distances Between Samples Based on a Survival Forest Fit (Data Supplied as a Dataframe)

## **Description**

The function predict\_distance\_forest predicts distances between samples based on a survival forest fit.

## Usage

```
predict_distance_forest(
   survival_forest,
   numeric_predictor,
   factor_predictor,
   data,
   missing = "omit"
)
```

#### **Arguments**

survival\_forest

a fitted survival forest

numeric\_predictor

a formula specifying the numeric predictors. As in  $\sim x1+x2+x3$ , the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

factor\_predictor

a formula specifying the numeric predictors. As in ~z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i], and z3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

data

the dataframe (test data) that stores the outcome and predictor variables. Variables in the global environment will be used if data is missing.

missing

a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random forest.

#### **Details**

Predict Distances Between Samples Based on a Survival Forest Fit (Data Supplied as a Dataframe)

#### Value

A list. mean\_distance is the mean distance matrix. sum\_distance is the matrix that sums the distances between samples. sum\_non\_na is the matrix of the number of non NA distances being averaged.

## **Examples**

```
library(survival)
a_survival_forest<-
survival_forest(
    survival_outcome=Surv(time,status==2)~1,
    numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
    factor_predictor=~as.factor(sex),
    data=lung,nboot=20)
a_distance<-
predict_distance_forest(
    a_survival_forest,
    numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
    factor_predictor=~as.factor(sex),
    data=lung)</pre>
```

```
predict_distance_forest_matrix
```

Predict Distances Between Samples Based on a Survival Forest Fit (Data Supplied as Matrices)

#### **Description**

The function predict\_distance\_forest\_matrix predicts distances between samples based on a survival forest fit.

#### Usage

```
predict_distance_forest_matrix(
  survival_forest,
 matrix_numeric,
 matrix_factor,
 missing = "omit"
)
```

## **Arguments**

survival forest

a fitted survival forest

matrix\_numeric numeric predictors, a numeric matrix.matrix\_numeric[i,j] is the jth numeric predictor of the ith sample. The best practice is to have the same column names

in the training and testing dataset.

matrix\_factor

factor predictors, a character matrix. matrix\_factor[i,j] is the jth predictor of the ith sample. The best practice is to have the same column names in the

training and testing dataset.

missing

a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random

forest.

#### **Details**

Predict Distances Between Samples Based on a Survival Forest Fit (Data Supplied as Matrices) (Works for raw matrices)

## Value

A list. mean\_distance is the mean distance matrix. sum\_distance is the matrix that sums the distances between samples. sum\_non\_na is the matrix of the number of non NA distances being averaged.

```
library(survival)
a_survival_forest<-
  survival_forest_matrix(
    time=lung$time,
    event=lung$status==2,
    matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
    matrix_factor=data.matrix(lung[,5,drop=F]),
    nboot=20)
a_distance<-
```

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```
predict_distance_forest_matrix(
   a_survival_forest,
   matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
   matrix_factor=data.matrix(lung[,5,drop=F]))
```

predict\_distance\_tree Predict Distances Between Samples Based on a Survival Tree Fit (Data Supplied as a Dataframe)

## Description

The function predict\_distance\_tree predicts distances between samples based on a survival tree fit.

#### **Usage**

```
predict_distance_tree(
   survival_tree,
   numeric_predictor,
   factor_predictor,
   data,
   missing = "omit"
)
```

#### Arguments

```
survival_tree a fitted survival tree
numeric_predictor
```

a formula specifying the numeric predictors. As in  $\sim x1+x2+x3$ , the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

factor\_predictor

a formula specifying the numeric predictors. As in ~z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i], and z3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

data

the dataframe (test data) that stores the outcome and predictor variables. Variables in the global environment will be used if data is missing.

missing

a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random tree.

#### **Details**

Predict Distances Between Samples Based on a Survival Tree Fit (Data Supplied as a Dataframe)

#### Value

A list. node\_distance gives the distance matrix between nodes. ind\_distance gives the distance matrix between samples. ind\_weights gives the weights of samples in each node.

## **Examples**

```
library(survival)
a_survival_tree<-
survival_tree(
    survival_outcome=Surv(time, status==2)~1,
    numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
    factor_predictor=~as.factor(sex),
    data=lung)
a_distance<-
predict_distance_tree(
    a_survival_tree,
    numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
    factor_predictor=~as.factor(sex),
    data=lung)</pre>
```

```
predict_distance_tree_matrix
```

Predict Distances Between Samples Based on a Survival Tree Fit (Data Supplied as Matrices)

## **Description**

The function predict\_distance\_tree\_matrix predicts distances between samples based on a survival tree fit.

## Usage

```
predict_distance_tree_matrix(
   survival_tree,
   matrix_numeric,
   matrix_factor,
   missing = "omit"
)
```

## **Arguments**

```
survival_tree a fitted survival tree
```

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 $\verb|matrix_numeric| | numeric| predictors, a numeric| matrix|. \\ \verb|matrix_numeric| | i,j| is the jth numeric| | i,j| is the jth n$ 

predictor of the ith sample. The best practice is to have the same column names

in the training and testing dataset.

matrix\_factor factor predictors, a character matrix. matrix\_factor[i,j] is the jth predictor

of the ith sample. The best practice is to have the same column names in the

training and testing dataset.

missing a character value that specifies the handling of missing data. If missing=="omit",

samples with missing values in the splitting variables will be discarded. If missing="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random

tree.

#### **Details**

Predict Distances Between Samples Based on a Survival Tree Fit (Data Supplied as Matrices) (Works for raw matrices)

#### Value

A list. node\_distance gives the distance matrix between nodes. ind\_distance gives the distance matrix between samples. ind\_weights gives the weights of samples in each node.

## **Examples**

```
library(survival)
a_survival_tree<-
survival_tree_matrix(
    time=lung$time,
    event=lung$status==2,
    matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
    matrix_factor=data.matrix(lung[,5,drop=FALSE]))
a_distance<-
predict_distance_tree_matrix(
    a_survival_tree,
    matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
    matrix_factor=data.matrix(lung[,5,drop=FALSE]))</pre>
```

predict\_weights

Predict Weights of Samples in Terminal Nodes Based on a Survival Tree Fit (Data Supplied as a Dataframe)

#### **Description**

The function predict\_weights predicts weights of samples in terminal nodes based on a survival tree fit.

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#### Usage

```
predict_weights(
   survival_tree,
   numeric_predictor,
   factor_predictor,
   data,
   missing = "omit"
)
```

#### **Arguments**

survival\_tree a fitted survival tree
numeric\_predictor

a formula specifying the numeric predictors. As in ~x1+x2+x3, the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

factor\_predictor

a formula specifying the numeric predictors. As in ~z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i], and z3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

data

the dataframe (test data) that stores the outcome and predictor variables. Variables in the global environment will be used if data is missing.

missing

a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random tree.

## Details

Predict Weights of Samples in Terminal Nodes Based on a Survival Tree Fit (Data Supplied as a Dataframe)

## Value

A weight matrix representing the weights of samples in each node.

```
library(survival)
a_survival_tree<-
survival_tree(
survival_outcome=Surv(time,status==2)~1,
numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,</pre>
```

predict\_weights\_matrix

```
factor_predictor=~as.factor(sex),
   data=lung)
a_weight<-
predict_weights(
   a_survival_tree,
   numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
   factor_predictor=~as.factor(sex),
   data=lung)</pre>
```

predict\_weights\_matrix

Predict Weights of Samples in Terminal Nodes Based on a Survival Tree Fit (Data Supplied as Matrices)

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## **Description**

The function predict\_weights\_matrix predicts weights of samples in terminal nodes based on a survival tree fit.

## Usage

```
predict_weights_matrix(
   survival_tree,
   matrix_numeric,
   matrix_factor,
   missing = "majority"
)
```

#### **Arguments**

survival\_tree a fitted survival tree

matrix\_numeric numeric predictors, a numeric matrix.matrix\_numeric[i,j] is the jth numeric

predictor of the ith sample. The best practice is to have the same column names

in the training and testing dataset.

matrix\_factor factor predictors, a character matrix. matrix\_factor[i,j] is the jth predictor

of the ith sample. The best practice is to have the same column names in the

training and testing dataset.

missing a character value that specifies the handling of missing data. If missing="omit",

samples with missing values in the splitting variables will be discarded. If missing="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained tree.

#### **Details**

Predict Weights of Samples in Terminal Nodes Based on a Survival Tree Fit (Data Supplied as Matrices)

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#### Value

A weight matrix representing the weights of samples in each node.

#### **Examples**

```
library(survival)
a_survival_tree<-
survival_tree_matrix(
    time=lung$time,
    event=lung$status==2,
    matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
    matrix_factor=data.matrix(lung[,5,drop=FALSE]))
a_weight<-
predict_weights_matrix(
    a_survival_tree,
    matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
    matrix_factor=data.matrix(lung[,5,drop=FALSE]))</pre>
```

survival\_forest

Build a Survival Forest (Data Supplied as a Dataframe)

## **Description**

The function survival\_forest build a survival forest given the survival outcomes and predictors of numeric and factor variables.

## Usage

```
survival_forest(
   survival_outcome,
   numeric_predictor,
   factor_predictor,
   weights = NULL,
   data,
   significance = 0.05,
   min_weights = 50,
   missing = "omit",
   test_type = "univariate",
   nboot = 100,
   seed = 0
)
```

## **Arguments**

```
survival_outcome
```

a Surv object of right-censored outcomes. In Surv(time, event), time[i] is the survival time of the ith sample. event[i] is the survival event of the ith sample.

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numeric\_predictor

a formula specifying the numeric predictors. As in  $\sim x1+x2+x3$ , the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample.

factor\_predictor

a formula specifying the numeric predictors. As in  $\sim$ z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i],

and z3[i] are the predictors of the ith sample.

weights sample weights, a numeric vector. weights[i] is the weight of the ith sample.

data the dataframe that stores the outcome and predictor variables. Variables in the

global environment will be used if data is missing.

significance significance threshold, a numeric value. Stop the splitting algorithm when no

splits give a p-value smaller than significance.

min\_weights minimum weight threshold, a numeric value. The weights in a node are greater

than min\_weights.

missing a character value that specifies the handling of missing data. If missing="omit",

samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of

branch nodes.

test\_type a character value that specifies the type of statistical tests. If test\_type=="univariate",

then it performs a log-rank test without p-value adjustments. If test\_type is in p.adjust.methods, i.e., one of holm, hochberg, hommel, bonferroni, BH, BY, or fdr, then the p-values will be adjusted using the corresponding method.

nboot an integer value that specifies the number of bootstrap replications.

seed an integer value that specifies the seed.

#### Details

Build a Survival Forest (Data Supplied as a Dataframe)

#### Value

A list containing the information of the survival forest fit.

```
library(survival)
a_survival_forest<-
survival_forest(
   survival_outcome=Surv(time, status==2)~1,
   numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
   factor_predictor=~as.factor(sex),
   data=lung,nboot=20)</pre>
```

```
survival_forest_matrix
```

Build a Survival Forest (Data Supplied as Matrices)

## **Description**

The function survival\_forest\_matrix build a survival forest given the survival outcomes and predictors of numeric and factor variables.

## Usage

```
survival_forest_matrix(
    time,
    event,
    matrix_numeric,
    matrix_factor,
    weights = rep(1, length(time)),
    significance = 0.05,
    min_weights = 50,
    missing = "omit",
    test_type = "univariate",
    nboot = 100,
    seed = 0
)
```

## Arguments

time	survival times, a numeric vector. $time[i]$ is the survival time of the ith sample.
event	survival events, a logical vector. event[i] is the survival event of the ith sample.
matrix_numeric	numeric predictors, a numeric matrix. $matrix\_numeric[i,j]$ is the jth numeric predictor of the ith sample.
matrix_factor	factor predictors, a character matrix. $matrix_factor[i,j]$ is the jth predictor of the ith sample.
weights	sample weights, a numeric vector. weights[i] is the weight of the ith sample.
significance	significance threshold, a numeric value. Stop the splitting algorithm when no splits give a p-value smaller than significance.
min_weights	minimum weight threshold, a numeric value. The weights in a node are greater than min_weights.
missing	a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes.

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test\_type a character value that specifies the type of statistical tests. If test\_type=="univariate",

then it performs a log-rank test without p-value adjustments. If test\_type is in p.adjust.methods, i.e., one of holm, hochberg, hommel, bonferroni, BH, BY, or fdr, then the p-values will be adjusted using the corresponding method.

nboot an integer value that specifies the number of bootstrap replications.

seed an integer value that specifies the seed.

#### **Details**

Build a Survival Forest (Data Supplied as Matrices)

## Value

A list containing the information of the survival forest fit.

## **Examples**

```
library(survival)
a_survival_forest<-
survival_forest_matrix(
   time=lung$time,
   event=lung$status==2,
   matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
   matrix_factor=data.matrix(lung[,5,drop=FALSE]),
   nboot=20)</pre>
```

survival\_tree

Build a Survival Tree (Data Supplied as a Dataframe)

#### **Description**

The function survival\_tree build a survival tree given the survival outcomes and predictors of numeric and factor variables.

## Usage

```
survival_tree(
   survival_outcome,
   numeric_predictor,
   factor_predictor,
   weights = NULL,
   data,
   significance = 0.05,
   min_weights = 50,
   missing = "omit",
   test_type = "univariate"
)
```

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#### **Arguments**

survival\_outcome

a Surv object of right-censored outcomes. In Surv(time, event), time[i] is the survival time of the ith sample. event[i] is the survival event of the ith sample.

numeric\_predictor

a formula specifying the numeric predictors. As in  $\sim x1+x2+x3$ , the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample.

factor\_predictor

a formula specifying the numeric predictors. As in  $\sim$ z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i],

and z3[i] are the predictors of the ith sample.

weights sample weights, a numeric vector. weights[i] is the weight of the ith sample.

the dataframe that stores the outcome and predictor variables. Variables in the

global environment will be used if data is missing.

significance significance threshold, a numeric value. Stop the splitting algorithm when no

splits give a p-value smaller than significance.

min\_weights minimum weight threshold, a numeric value. The weights in a node are greater

than min\_weights.

missing a character value that specifies the handling of missing data. If missing="omit",

samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of

branch nodes.

test\_type a character value that specifies the type of statistical tests. If test\_type=="univariate",

then it performs a log-rank test without p-value adjustments. If test\_type is in p.adjust.methods, i.e., one of holm, hochberg, hommel, bonferroni, BH, BY, or fdr, then the p-values will be adjusted using the corresponding method.

#### **Details**

Build a Survival Tree (Data Supplied as a Dataframe)

#### Value

A list containing the information of the survival tree fit.

```
library(survival)
a_survival_tree<-
survival_tree(
   survival_outcome=Surv(time, status==2)~1,
   numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
   factor_predictor=~as.factor(sex),
   data=lung)</pre>
```

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survival\_tree\_matrix Build a Survival Tree (Data Supplied as Matrices)

## **Description**

The function survival\_tree\_matrix build a survival tree given the survival outcomes and predictors of numeric and factor variables.

# Usage

```
survival_tree_matrix(
    time,
    event,
    matrix_numeric,
    matrix_factor,
    weights = rep(1, length(time)),
    significance = 0.05,
    min_weights = 50,
    missing = "omit",
    test_type = "univariate"
)
```

## **Arguments**

time

event	survival events, a logical vector. event[i] is the survival event of the ith sample.
matrix_numeric	numeric predictors, a numeric matrix. matrix_numeric[i,j] is the jth numeric predictor of the ith sample.
matrix_factor	factor predictors, a character matrix. matrix_factor[i,j] is the jth predictor of the ith sample.
weights	sample weights, a numeric vector. weights[i] is the weight of the ith sample.
significance	significance threshold, a numeric value. Stop the splitting algorithm when no splits give a p-value smaller than significance.
min_weights	minimum weight threshold, a numeric value. The weights in a node are greater than min_weights.
missing	a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes.
test_type	a character value that specifies the type of statistical tests. If test_type=="univariate", then it performs a log-rank test without p-value adjustments. If test_type is in p.adjust.methods, i.e., one of holm, hochberg, hommel, bonferroni, BH, BY,

or fdr, then the p-values will be adjusted using the corresponding method.

survival times, a numeric vector. time[i] is the survival time of the ith sample.

# **Details**

Build a Survival Tree (Data Supplied as Matrices)

# Value

A list containing the information of the survival tree fit.

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